

# A brief Incursion into Knot Theory

Eduardo Balreira



Trinity University  
Mathematics Department

Major Seminar, Fall 2008

## 1 A Fundamental Problem

- 1 A Fundamental Problem
- 2 Knot Theory

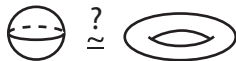
- 1 A Fundamental Problem
- 2 Knot Theory
- 3 Reidemeister Moves

- 1 A Fundamental Problem
- 2 Knot Theory
- 3 Reidemeister Moves
- 4 Invariants
  - Colorability
  - The Knot Group

# A Fundamental Problem

Given two objects, how to tell them apart?

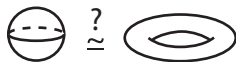
- When are two surfaces homeomorphic?



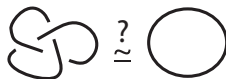
# A Fundamental Problem

Given two objects, how to tell them apart?

- When are two surfaces homeomorphic?



- When are two knots equivalent?



# A Fundamental Problem

Main Research Area

## Injectivity via Geometric and Topological Methods

- Foliation Theory



# A Fundamental Problem

Main Research Area

## Injectivity via Geometric and Topological Methods

- Foliation Theory
- Spectral Theory

# A Fundamental Problem

Main Research Area

## Injectivity via Geometric and Topological Methods

- Foliation Theory
- Spectral Theory
- Variational Calculus

# A Fundamental Problem

Main Research Area

## Injectivity via Geometric and Topological Methods

- Foliation Theory
- Spectral Theory
- Variational Calculus
- Global Embedding of Submanifolds

# Sample Topics

## Project Problem (Foliation Theory)

*Discuss Fibrations versus Foliations, e.g., characterize the foliations of the Euclidean Plane, that is,  $\mathbb{R}^2$ .*

## Project Problem (Foliation Theory)

*Discuss Fibrations versus Foliations, e.g., characterize the foliations of the Euclidean Plane, that is,  $\mathbb{R}^2$ .*

## Project Problem (Spectral Theory)

*Discuss the eigenvalues of the Laplacian on a bounded region of  $\mathbb{R}^n$ , e.g., Rayleigh and Min-Max Methods.*

# Sample Topics

## Project Problem (Variational Calculus)

*Discuss any result in the Geometric Analysis report, e.g., understand the MPT and its proof.*



## Project Problem (Variational Calculus)

*Discuss any result in the Geometric Analysis report, e.g., understand the MPT and its proof.*

## Project Problem (Geometry)

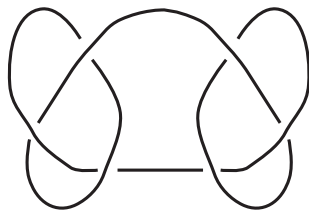
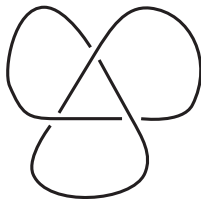
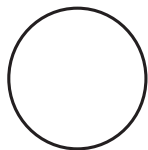
*Learn about Classification of Surfaces via the Euler Characteristic and/or understand the Gauss-Bonnet formula*

$$\int_M K \, dA = 2\pi\chi(M)$$

# Knot Theory

When can tell the difference between Knots?

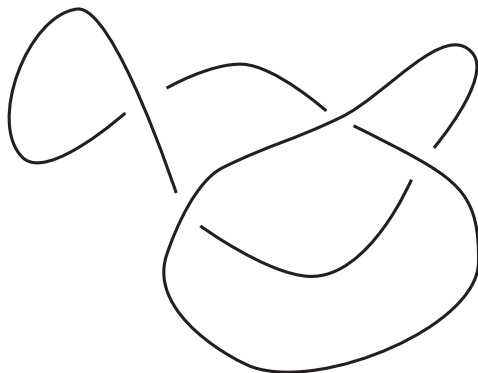
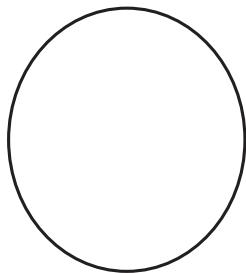
Are the knots below the same?



# Knot Theory

When can tell the difference between Knots?

How about these?



A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

- Picture in the plane (or slide) - Diagram with crossing

# Knot Theory

## Some Formalism

A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

- Picture in the plane (or slide) - Diagram with crossing
- Tame Knots

A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

- Picture in the plane (or slide) - Diagram with crossing
- Tame Knots
  - ▶ Finite Number of arcs

A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

- Picture in the plane (or slide) - Diagram with crossing
- Tame Knots
  - ▶ Finite Number of arcs
  - ▶ Only two strands at a crossing

A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

- Picture in the plane (or slide) - Diagram with crossing
- Tame Knots
  - ▶ Finite Number of arcs
  - ▶ Only two strands at a crossing
  - ▶ “nice”



A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

- Picture in the plane (or slide) - Diagram with crossing
- Tame Knots
  - ▶ Finite Number of arcs
  - ▶ Only two strands at a crossing
  - ▶ “nice”
- Invariant Property:  $K_1 \sim K_2$  if,

A knot is an injective map  $h : S^1 \rightarrow \mathbb{R}^3$

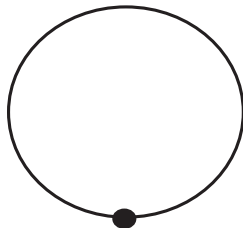
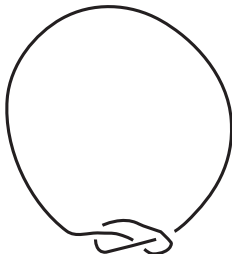
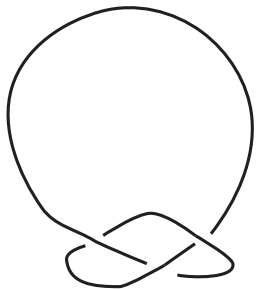
- Picture in the plane (or slide) - Diagram with crossing
- Tame Knots
  - ▶ Finite Number of arcs
  - ▶ Only two strands at a crossing
  - ▶ “nice”
- Invariant Property:  $K_1 \sim K_2$  if,

There is a homeomorphism  $\varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  such that

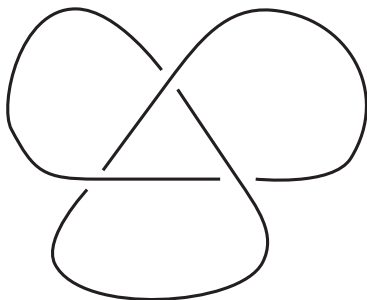
$$\varphi(K_1) = K_2$$

The map  $\varphi$  itself must be “nice”  $\iff$  **Isotopic to the Identity**

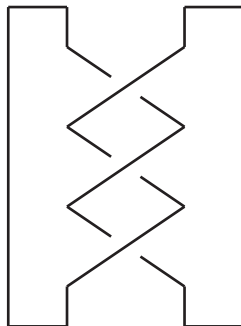
- No situations such as:



Two Knots with **similar** Diagram must be the same.

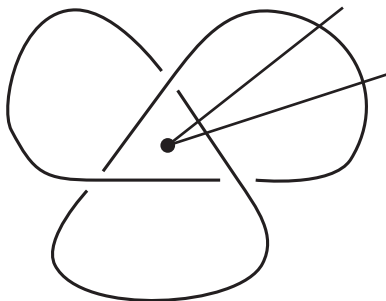


Trefoil

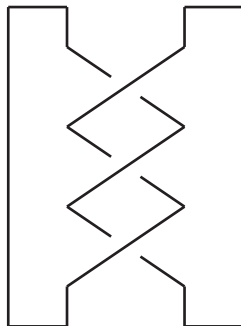


Braided Trefoil

Two Knots with **similar** Diagram must be the same.

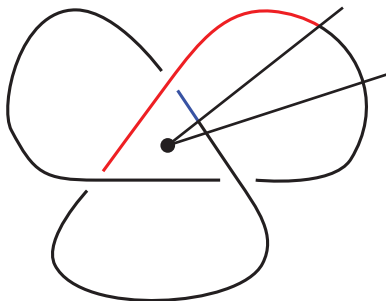


Trefoil

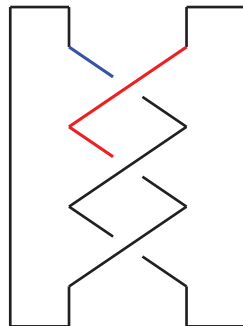


Braided Trefoil

Two Knots with **similar** Diagram must be the same.

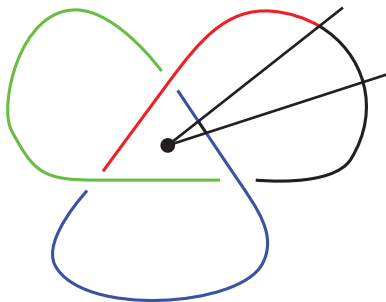


Trefoil

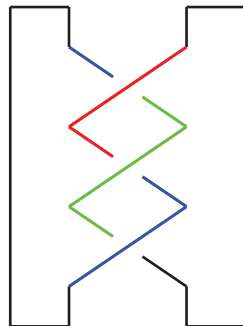


Braided Trefoil

Two Knots with **similar** Diagram must be the same.

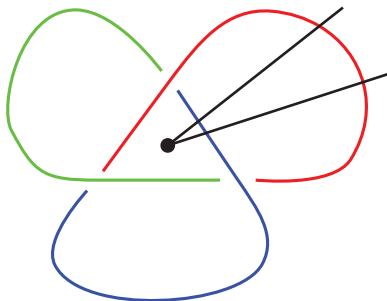


Trefoil

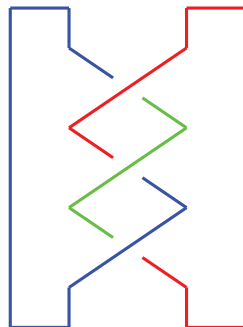


Braided Trefoil

Two Knots with **similar** Diagram must be the same.



Trefoil

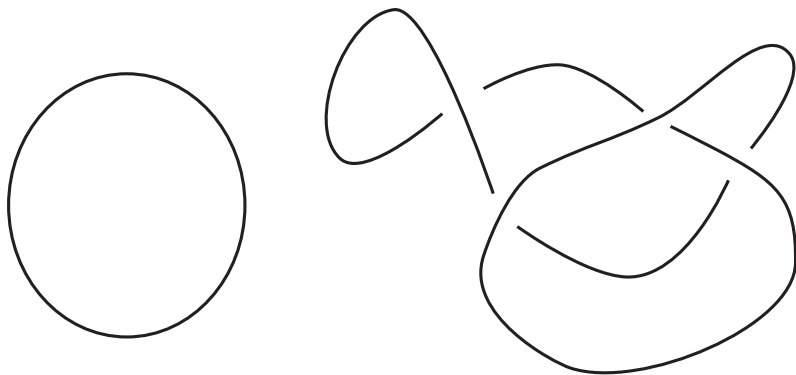


Braided Trefoil



# Knot Theory - Diagrams

Even if not exactly the diagram same, they could be the same...



## Reidemeister Moves

- Type I: Put or Take out a kink.

## Reidemeister Moves

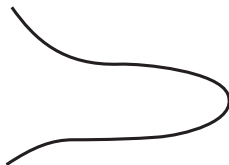
- Type I: Put or Take out a kink.
- Type II: Slide a strand over/under to creat/remove two crossings.

## Reidemeister Moves

- Type I: Put or Take out a kink.
- Type II: Slide a strand over/under to creat/remove two crossings.
- Type III: Slide a strand across a crossing.

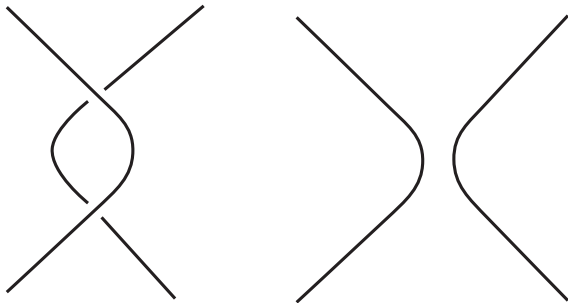
# Type I

Put or Take out a kink.



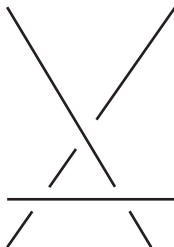
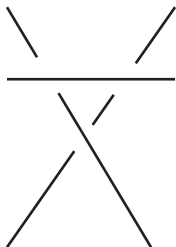
# Type II

Slide a strand over/under to creat/remove two crossings.



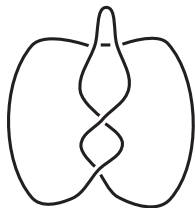
# Type III

Slide a strand across a crossing



# Unknotting a Knot

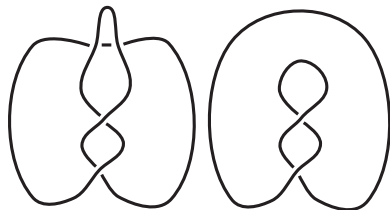
Using Reidemeister moves





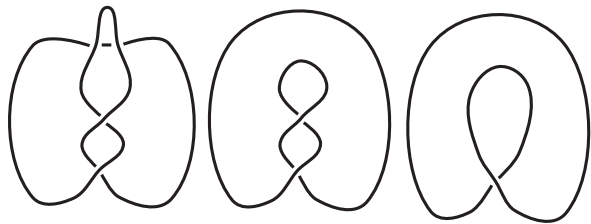
# Unknotting a Knot

Using Reidemeister moves



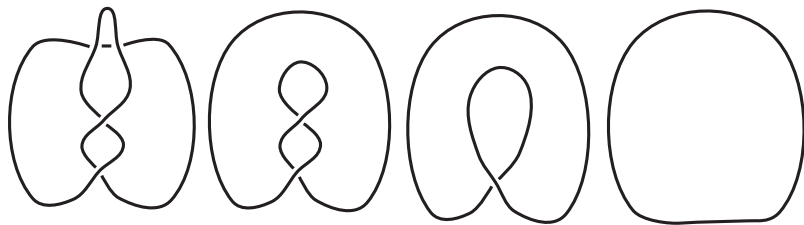
# Unknotting a Knot

Using Reidemeister moves



# Unknotting a Knot

Using Reidemeister moves



# Knot Theory

A Possible classification?

# Knot Theory

A Possible classification?

## Theorem (Reidemeister, 1932)

*Two Knot diagrams of the same knot can be deformed into each other by a finite number of moves of Type I, II, and III.*

# Knot Theory

A Possible classification?

## Theorem (Reidemeister, 1932)

*Two Knot diagrams of the same knot can be deformed into each other by a finite number of moves of Type I, II, and III.*

## Project Problem

*Discuss the result above, its proof, and its significance in other areas. For instance, Physics - the structure of the atom and Chemistry - the structure of the DNA replication.*

- Colorability

# Invariants

- Colorability
- Unknotting number



# Invariants

- Colorability
- Unknotting number
- Genus of a knot

- Colorability
- Unknotting number
- Genus of a knot
- Knot Group

- Colorability
- Unknotting number
- Genus of a knot
- Knot Group
- Polynomial Invariants

# Sample Problems in Knot Theory

## Project Problem (Colorability)

*Understand the definition and application as well as generalizations for mod  $p$  colorability. This project has a Topological and Number Theoretical flavor.*

# Sample Problems in Knot Theory

## Project Problem (Colorability)

*Understand the definition and application as well as generalizations for mod  $p$  colorability. This project has a Topological and Number Theoretical flavor.*

## Project Problem (Unknotting number)

*Discuss classical result and classification of knots via crossing and unknotting numbers, e.g., minimal diagrams and prime knots.*

# More Sample Problems in Knot Theory

# More Sample Problems in Knot Theory

## Project Problem (Genus of a knot)

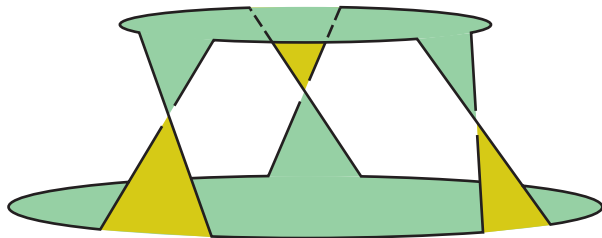
*Learn surface theory in order to understand Seifert surfaces. Show that the genus of a knot,  $\frac{-\chi(M) + 1}{2}$  is a knot invariant.*



# More Sample Problems in Knot Theory

## Project Problem (Genus of a knot)

Learn surface theory in order to understand Seifert surfaces. Show that the genus of a knot,  $\frac{-\chi(M) + 1}{2}$  is a knot invariant.



# Even More Sample Problems in Knot Theory

## Project Problem (Knot Group)

*Learn to compute the knot group and discuss group presentations and possibly learn about representation theory.*

## Project Problem (Knot Group)

*Learn to compute the knot group and discuss group presentations and possibly learn about representation theory.*

$$\pi_1(\mathbb{R}^3 - T) = \langle a, b \mid aba = bab \rangle = \langle x, y \mid x^2 = y^3 \rangle$$

Can we make sense of the expressions above?

# One More Sample Problem in Knot Theory

## Project Problem (Polynomial Invariants)

*Understand and learn to compute a polynomial invariant of a knot, e.g., Bracket, Kauffman, Jones, Homfly, and Alexander Polynomials.*

- All rules come from the topology of the knots (i.e., crossing types)

# One More Sample Problem in Knot Theory

## Project Problem (Polynomial Invariants)

*Understand and learn to compute a polynomial invariant of a knot, e.g., Bracket, Kauffman, Jones, Homfly, and Alexander Polynomials.*

- All rules come from the topology of the knots (i.e., crossing types)
- Some are formal Algebraic Computations.

# One More Sample Problem in Knot Theory

## Project Problem (Polynomial Invariants)

*Understand and learn to compute a polynomial invariant of a knot, e.g., Bracket, Kauffman, Jones, Homfly, and Alexander Polynomials.*

- All rules come from the topology of the knots (i.e., crossing types)
- Some are formal Algebraic Computations.
- Others are Geometric in nature.

$K$  is colorable  $\leftrightarrow$  each arc has one of 3 colors

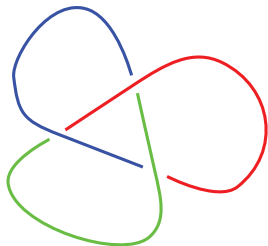
- At least two of the colors are used
- At crossing, either all different or all the same color.

# Invariants

## Colorability

$K$  is colorable  $\leftrightarrow$  each arc has one of 3 colors

- At least two of the colors are used
- At crossing, either all different or all the same color.



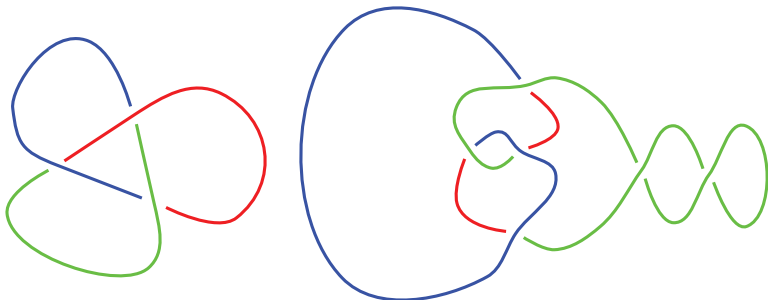


# Invariants

## Colorability

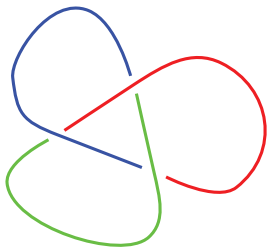
$K$  is colorable  $\leftrightarrow$  each arc has one of 3 colors

- At least two of the colors are used
- At crossing, either all different or all the same color.

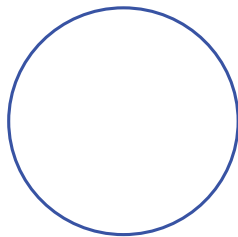


# Colorability

The Unknot and Trefoil are different!



Tricolorable



Not tricolorable

# An Algebraic Invariant

Topologically, every knot is equivalent to  $S^1$ . DONE?

# An Algebraic Invariant

Topologically, every knot is equivalent to  $S^1$ . DONE?

- Knots are different via their embedding in  $\mathbb{R}^3$ .

# An Algebraic Invariant

Topologically, every knot is equivalent to  $S^1$ . DONE?

- Knots are different via their embedding in  $\mathbb{R}^3$ .
- Better question:  $K_1 \sim K_2$  if  $\exists \varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with

$$\varphi(K_1) = K_2$$

# An Algebraic Invariant

Topologically, every knot is equivalent to  $S^1$ . DONE?

- Knots are different via their embedding in  $\mathbb{R}^3$ .
- Better question:  $K_1 \sim K_2$  if  $\exists \varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with

$$\varphi(K_1) = K_2$$

- Consider  $\varphi|_{\mathbb{R}^3 - K_1} : \mathbb{R}^3 - K_1 \rightarrow \mathbb{R}^3 - K_2$

# An Algebraic Invariant

Topologically, every knot is equivalent to  $S^1$ . DONE?

- Knots are different via their embedding in  $\mathbb{R}^3$ .
- Better question:  $K_1 \sim K_2$  if  $\exists \varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with

$$\varphi(K_1) = K_2$$

- Consider  $\varphi|_{\mathbb{R}^3 - K_1} : \mathbb{R}^3 - K_1 \rightarrow \mathbb{R}^3 - K_2$

- Thus

$$\pi_1(\mathbb{R}^3 - K_1) \simeq \pi_1(\mathbb{R}^3 - K_2)$$

# An Algebraic Invariant

Topologically, every knot is equivalent to  $S^1$ . DONE?

- Knots are different via their embedding in  $\mathbb{R}^3$ .
- Better question:  $K_1 \sim K_2$  if  $\exists \varphi : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with

$$\varphi(K_1) = K_2$$

- Consider  $\varphi|_{\mathbb{R}^3 - K_1} : \mathbb{R}^3 - K_1 \rightarrow \mathbb{R}^3 - K_2$

- Thus

$$\pi_1(\mathbb{R}^3 - K_1) \simeq \pi_1(\mathbb{R}^3 - K_2)$$

- Same **Fundamental Group**



# The Knot Group

## An Algebraic Invariant

- $\pi_1(M)$  is the Fundamental Group of  $M$

# The Knot Group

## An Algebraic Invariant

- $\pi_1(M)$  is the Fundamental Group of  $M$
- For a knot  $K$ ,  $\pi_1(\mathbb{R}^3 - K)$  is the **Knot Group** of  $K$

# The Knot Group

## An Algebraic Invariant

- $\pi_1(M)$  is the Fundamental Group of  $M$
- For a knot  $K$ ,  $\pi_1(\mathbb{R}^3 - K)$  is the **Knot Group** of  $K$
- MATH 4365 - Topology (New Course! Fall 09)

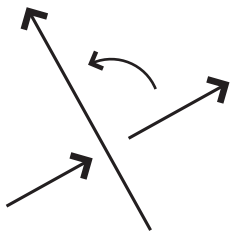
# The Knot Group

## An Algebraic Invariant

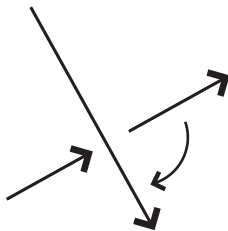
- $\pi_1(M)$  is the Fundamental Group of  $M$
- For a knot  $K$ ,  $\pi_1(\mathbb{R}^3 - K_1)$  is the **Knot Group** of  $K$
- MATH 4365 - Topology (New Course! Fall 09)
- Some Algebra background would be very nice...

# An Algebraic Invariant

## Types of Crossings



+ Crossing



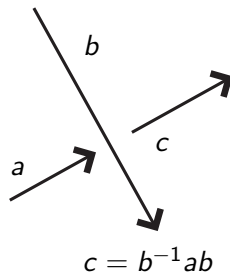
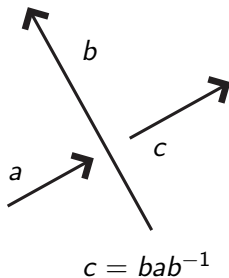
- Crossing

# Computing the Knot group

- Label each arc with a letter.

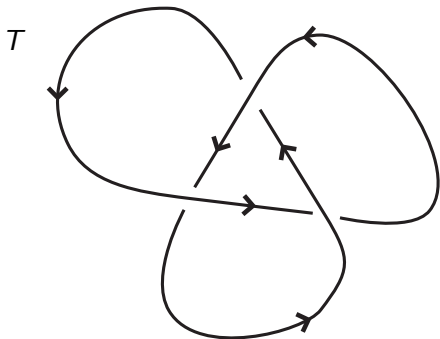
# Computing the Knot group

- Label each arc with a letter.
- At a crossing: the emerging arc labeled by the conjugate as follows:



# Computing the Knot group

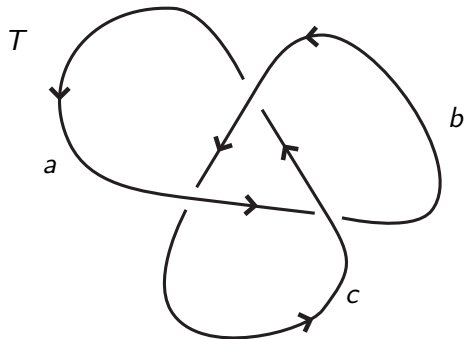
## The Trefoil Group





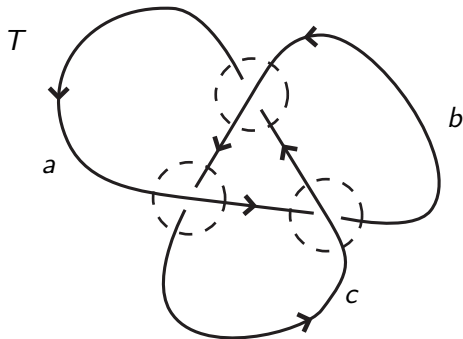
# Computing the Knot group

## The Trefoil Group



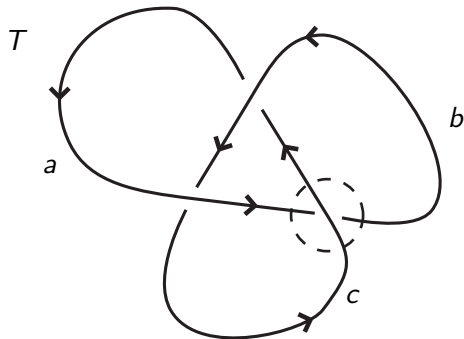
# Computing the Knot group

## The Trefoil Group



# Computing the Knot group

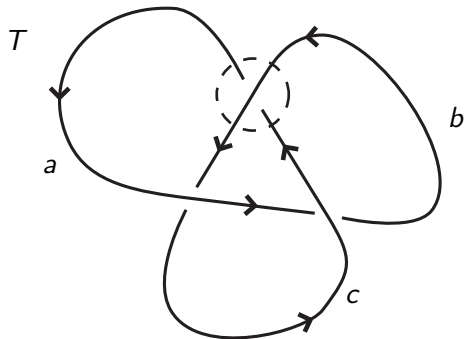
## The Trefoil Group



$$b = cac^{-1}$$

# Computing the Knot group

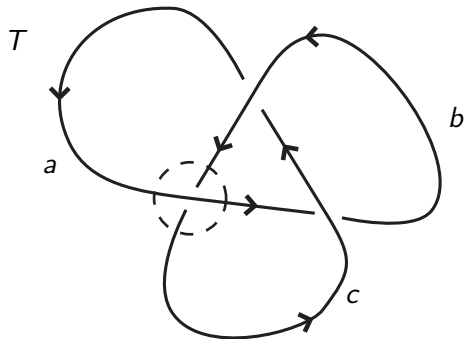
## The Trefoil Group



$$a = bcb^{-1}$$

# Computing the Knot group

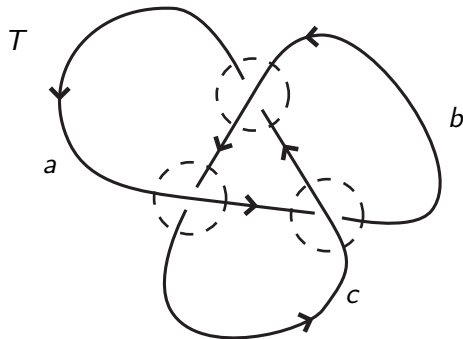
## The Trefoil Group



$$c = aba^{-1}$$

# Computing the Knot group

## The Trefoil Group



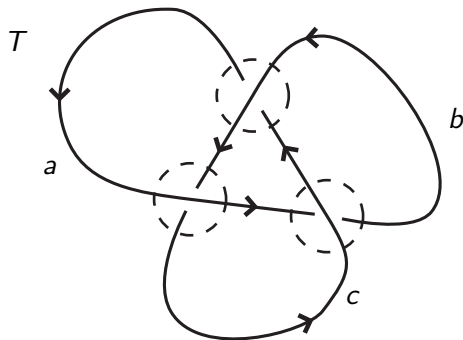
$$b = cac^{-1}$$

$$a = bcb^{-1}$$

$$c = aba^{-1}$$

# Computing the Knot group

## The Trefoil Group



$$b = cac^{-1}$$

$$a = bcb^{-1}$$

$$c = aba^{-1}$$

Thus,  $b = aba^{-1}aab^{-1}a^{-1}$  or  $bab = aba$

# Computing the Knot group

## The Trefoil Group

The computations above show:



# Computing the Knot group

## The Trefoil Group

The computations above show:

- $\pi_1(\mathbb{R}^3 - T) = \langle a, b \mid aba = bab \rangle$

# Computing the Knot group

## The Trefoil Group

The computations above show:

- $\pi_1(\mathbb{R}^3 - T) = \langle a, b \mid aba = bab \rangle$
- **Fact:**  $\pi_1(\mathbb{R}^3 - T)$  is **not** Abelian

# Computing the Knot group

## The Trefoil Group

The computations above show:

- $\pi_1(\mathbb{R}^3 - T) = \langle a, b \mid aba = bab \rangle$
- **Fact:**  $\pi_1(\mathbb{R}^3 - T)$  is **not** Abelian
- **Fact:**  $K$  is the unknot,  $\pi_1(\mathbb{R}^3 - K) = \mathbb{Z}$

# Computing the Knot group

## The Trefoil Group

The computations above show:

- $\pi_1(\mathbb{R}^3 - T) = \langle a, b \mid aba = bab \rangle$
- **Fact:**  $\pi_1(\mathbb{R}^3 - T)$  is **not** Abelian
- **Fact:**  $K$  is the unknot,  $\pi_1(\mathbb{R}^3 - K) = \mathbb{Z}$
  
- Unknot  $\neq$  Trefoil!